| Ref<br># | Hits | Search Query   | DBs   | Default<br>Operator | Plurals | Time Stamp       |
|----------|------|--|---|---------------------|---------|------------------|
| S1       | 18   | 705/35 and (central\$2 same securit\$4 same deposit\$4)      | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:27 |
| S2       | 11   | 705/36\$1 and (central\$2 same securit\$4 same deposit\$4)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:30 |
| S3       | 15   | 705/37 and (central\$2 same securit\$4 same deposit\$4)      | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:34 |
| S4       | 11   | 705/38 and (central\$2 same securit\$4 same deposit\$4)      | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:37 |
| S5       | 17   | 705/39 and (central\$2 same securit\$4 same deposit\$4)      | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:38 |
| S6       | 99   | "705".clas. and (central\$2 same securit\$4 same deposit\$4) | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/27 12:00 |
| S7       | 2    | 707/201 and (central\$2 same securit\$4 same deposit\$4)     | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:41 |
| S8       | . 7  | "707".clas. and (central\$2 same securit\$4 same deposit\$4) | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:42 |
| S9 ·     | 12   | 705/1 and (central\$2 same securit\$4 same deposit\$4)       | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR                  | OFF     | 2006/10/25 14:43 |

| S10 | 3   | 705/16 and (central\$2 same securit\$4 same deposit\$4)                                    | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:45 |
|-----|-----|--|---|----|-----|------------------|
| S11 | 5   | 705/27 and (central\$2 same securit\$4 same deposit\$4)                                    | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:45 |
| S12 | 6   | 705/30 and (central\$2 same securit\$4 same deposit\$4)                                    | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:46 |
| S13 | 3   | kassenverein and (central\$2 same securit\$4 same deposit\$4)                              | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:27 |
| S14 | 9   | (dtc or "depository trust company")<br>and (central\$2 same securit\$4 same<br>deposit\$4) | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 15:43 |
| S15 | 3   | sicovam and (central\$2 same securit\$4 same deposit\$4)                                   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:16 |
| S16 | 7   | euroclear and (central\$2 same securit\$4 same deposit\$4)                                 | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 14:12 |
| S18 | 109 | corporate adj1 (action or actions)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 15:54 |
| S19 | 53  | S18 same securit\$   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/25 18:27 |

| S20 | 10   | (event or (corporate adj1 action\$1))   | US-PGPUB;   | OR   | OFF | 2006/10/25 18:38 |
|-----|------|---|---|------|-----|------------------|
|     |      | same (financial or securit\$3) same<br>(instrument\$1 or asset\$1) same<br>(payment\$1 or holding\$1) same  | USPAT;<br>USOCR;<br>EPO; JPO;                         |      |     |                  |
|     |      | (add\$3 or remov\$3) and central\$2 and deposit\$3  | IBM_TDB   |      |     | ,                |
| S21 | 1680 | (event or (corporate adj1 action\$1)) and (financial or securit\$3) and (instrument\$1 or asset\$1) and (payment\$1 or holding\$1) and (add\$3 or remov\$3) and central\$2 and deposit\$3 | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:42 |
| S22 | 682  | (event or (corporate adj1 action\$1)) and (financial or securit\$3) and (instrument\$1 or asset\$1) and (payment\$1 or holding\$1) and (add\$3 or remov\$3) and central\$2 and deposit\$3 | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR . | OFF | 2006/10/25 18:39 |
| S23 | 675  | S21 and "705".clas.   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:44 |
| S24 | 671  | S23 and (bond or share\$1 or warrant\$1 or broker\$1 or bank\$1 or split\$1 or money or divdend or interest or merger\$1)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:50 |
| S25 | 239  | S23 and (bond or share\$1 or warrant\$1 or broker\$1 or bank\$1 or split\$1 or money or divdend or interest or merger\$1)   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR   | OFF | 2006/10/25 18:48 |
| S26 | 0    | S21 and (centralized adj4 despository)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:51 |
| S27 | 0    | S21 and (securit\$3 adj4 desposit\$3)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:51 |
| S28 | 170  | S21 and depository  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/25 18:52 |

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|-----|---|----------------------------------|---|------|-----|------------------|
| S29 | 190                                     | S21 and depository               | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR . | ON  | 2006/10/25 18:52 |
| S30 | 116                                     | S29 and "705".clas.              | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | ON  | 2006/10/25 18:52 |
| S31 | 37                                      | S29 and "705".clas.              | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR   | ON  | 2006/10/25 18:53 |
| S32 | 2                                       | (("6029146") or ("6247000")).PN. | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:12 |
| S33 | 97092                                   | "2" and payment                  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:12 |
| S34 | 2                                       | S32 and payment                  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:19 |
| S35 | 0                                       | financial and despository        | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:19 |
| S36 | 1084                                    | financial and depository         | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:19 |
| S37 | 677                                     | S36 and payment                  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:20 |
| S38 | 561                                     | S36 and payment and securit\$3   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 13:27 |

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|-----|-------|---|---|------|-----|------------------|
| S39 | 266   | S36 and (payment same securit\$3)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:23 |
| S40 | 25782 | financial and defin\$3 and (securit\$3 or holding\$1 or instrument)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:28 |
| S41 | 112   | asset adj1 servic\$3  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:45 |
| S42 | 12    | asset adj1 servic\$3 and (depository)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR . | OFF | 2006/10/26 14:34 |
| S43 | 64    | asset adj1 servic\$3 and securit\$3   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:34 |
| S44 | 57    | asset adj1 servic\$3 and financial  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:50 |
| S45 | 3342  | banking and securit\$3 and payment<br>and (manage or track or trade or<br>sell)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:53 |
| S46 | 2073  | (company same (banking or<br>financial)) and securit\$3 and<br>payment and (manage or track or<br>trade or sell)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:54 |
| S47 | 388   | (company same (banking or financial)) and securit\$3 and payment and (manage or track or trade or sell) and (interest or dividend\$1) and (split\$1 or merger\$1) | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF | 2006/10/26 14:56 |

| S48 | 92    | (company same (banking or financial)) and securit\$3 and   | USPAT;<br>USOCR;                         | OR   | OFF      | 2006/10/26 14:59 |
|-----|-------|--|--|------|----------|------------------|
|     |       | payment and (manage or track or trade or sell) and (interest or dividend\$1) and (split\$1 or merger\$1) | EPO; JPO;<br>IBM_TDB                     |      | -        |                  |
| S49 | . 58  | S48 and "705".clas.  | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 15:39 |
| S50 | 65    | cusip  | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 15:41 |
| S51 | 34792 | ISIN   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 15:41 |
| S52 | 508   | ISIN same instrument   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 15:44 |
| S53 | 236   | manage same (securit\$3 or financial) same (portfolio or account)  | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR . | OFF      | 2006/10/26 15:46 |
| S54 | 35    | S53 and payment and (interest or dividend\$1) and (split or merger\$1)                                   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 15:59 |
| S55 | · 10  | DTCC   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 16:03 |
| S56 | 129   | (Federal adj1 Reserve adj1 Bank)<br>and (manage or process\$4)   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 16:08 |
| S57 | 77    | S56 and "705".clas.  | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF      | 2006/10/26 16:09 |
| S58 | 62    | S56 and "705".clas. and (securit\$3 or bond\$1 or stock)   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR   | OFF<br>· | 2006/10/26 16:55 |

| S59 | 7   | Depository same Trust same<br>Clearing                              | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR | OFF | 2006/10/27 12:19 |
|-----|-----|---|---|----|-----|------------------|
| S60 | 1   | ("5262942").PN.   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/26 17:11 |
| S61 | 58  | financial same settle same trade                                    | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/26 17:12 |
| S62 | 402 | securit\$4 same depository  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:02 |
| S63 | 116 | S62 and (trader\$1 or broker\$1)                                    | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:02 |
| S64 | 78  | S63 and payment   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:02 |
| S65 | 78  | securit\$4 same depository and (trader\$1 or broker\$1) and payment | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:03 |
| S66 | 56  | Depository same Trust same<br>Clearing                              | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:48 |
| S67 | 50  | "5497317"   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 17:43 |
| S68 | 250 | securit\$3 same \$3custodian  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 12:55 |

| S69 | 121  | securit\$3 same \$3custodian  | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR | OFF | 2006/10/27 12:56 |
|-----|------|---|---|----|-----|------------------|
| S70 | 9    | securit\$3 same \$3custodian same settl\$6 and (central\$7 or bank)   | USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB              | OR | OFF | 2006/10/27 12:57 |
| S71 | 43   | ("5497317").URPN.   | USPAT   | OR | OFF | 2006/10/27 12:59 |
| S72 | 57   | ("4346442"   "4376978"   "4571463"<br>  "4674044"   "4694397"  <br>"4774663"   "4823265"   "4949248"<br>  "4980826"   "5101353"  <br>"5136501"   "5220501"   "5262942"<br>  "5285383").PN. OR ("5497317").<br>URPN. | US-PGPUB;<br>USPAT;<br>USOCR                          | OR | OFF | 2006/10/27 13:08 |
| S73 | 2846 | financial and securit\$3 same (retire or matur\$3 or remov\$2)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 17:45 |
| S74 | 515  | financial and securit\$3 same<br>matur\$3   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 17:47 |
| S75 | 351  | financial and securit\$3 same split   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 17:48 |
| S76 | 351  | financial and (securit\$3 same split)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>EPO; JPO;<br>IBM_TDB | OR | OFF | 2006/10/27 17:48 |

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